# **Evaluation and accreditation of study programmes in Portugal**

Mónica Vieira monica@sc.ipp.pt

Cristina Pinto da Silva <a href="mailto:csilva@sc.ipp.pt">csilva@sc.ipp.pt</a>





The process of evaluation and accreditation of study programmes in Portugal:

- I. A3ES (Agency for Assessment and Accreditation of Higher Education in Portugal)
- II. The current evaluation and accreditation process (2011-2016)
- III. Phases of the evaluation and accreditation process
- IV. Lessons learned at IPP

I. The Agency



Agency for Assessment and Accreditation of Higher Education in Portugal:

The mission of A3ES is to contribute to improving the quality of Portuguese higher education, through the assessment and accreditation of higher education institutions and their study programmes, and to ensure the integration of Portugal in the European quality assurance system of higher education.

NB: All documentation, including guidelines, available in English at www.a3es.pt

I. The Agency

## Main *principles* of the evaluation and accreditation process:

- **1. Specificity** of the HEI and the type of teaching/learning they offer are taken into consideration
- **2. All stakeholders** are asked to participate and their contribution is taken on board
- **3. Self-evaluation** is a key element in the process

## Main *objects* of the evaluation and accreditation process:

- 1. All **new** study programmes
- 2. Two **current one-off** processes of evaluation and accreditation:
  - 2.1 All existing study programmes 2011-2016
  - 2.2 Internal **QA systems** of all HEI 2012-2016

I. The Agency

## Main goals of the process are to assess:

- 1. The quality of the **performance** of the HEI
- 2. The quality of **all study programmes** (1st, 2nd and 3rd cycles)
- 3. The effectiness of the **internal QA procedures** of the HEI

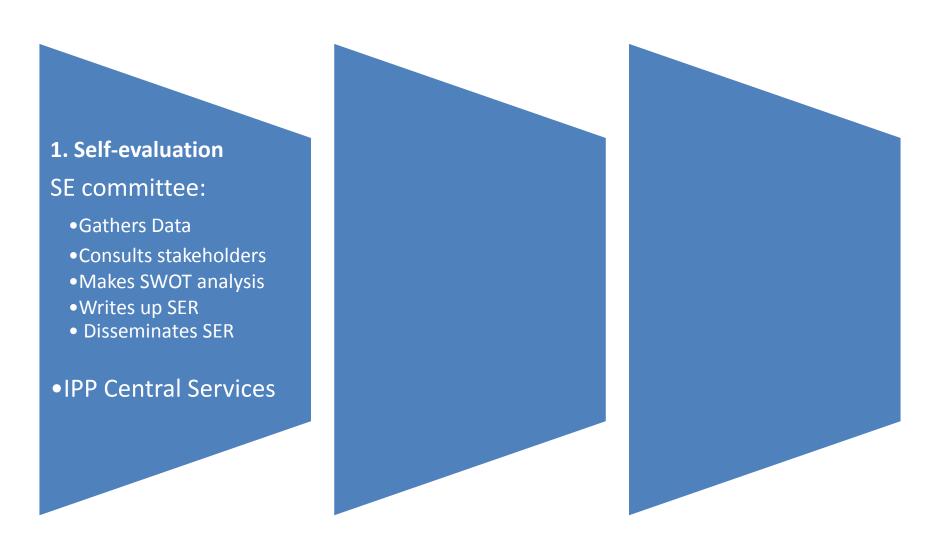
#### **Procedures:**

- 1. The whole process, including communication, is digital and paperless
- 2. Decisions and refutations have to be made **public**
- 3. HEI have the **right of appeal** according to Portuguese law

#### II. Evaluation and accreditation process (2011-2016)

- 1. Evaluation and accreditation is **compulsory** for **all** study programmes of **all** HEI (universities, polytechnic institutes, public and private)
- 2. Study programmes are grouped according to main scientific area
- 3. Study programmes can be
  - 3.1 Fully accredited (for a 5-year period)
  - 3.2 Accredited **conditionally** (for 1, 2 or 3 years) with recommendations whose fulfilment is monitored by the agency
  - 3.3 **Not accredited**, and therefore **discontinued** (with legal safeguards for existing students)

## III. Phases of the evaluation and accreditation process



## III. Phases of the evaluation and accreditation process

#### 1. Self-evaluation

#### SE committee:

- •Gathers Data
- Consults stakeholders
- Makes SWOT analysis
- Writes up SER
- Disseminates SER
- IPP Central Services

A3ES Criteria Self-evaluation Study
Programmes.pdf

## III. Phases of the evaluation and accreditation process

#### 1. Self-evaluation

#### SE committee:

- Gathers Data
- Consults stakeholders
- Makes SWOT analysis
- Writes up SER
- Disseminates SER
- IPP Central Services

#### 2. External evaluation

## Panel of experts:

- Analyses SER
- Visits school
- Writes preliminary report
- Proposes decision
- Makes recommendations for enhancement
- Analyses refutations, when applicable

## III. Phases of the evaluation and accreditation process

#### 1. Self-evaluation

#### SE committee:

- Gathers Data
- Consults stakeholders
- Makes SWOT analysis
- Writes up SER
- Disseminates SER
- IPP Central Services

# **2. External evaluation** Panel of experts:

- Analyses SER
- Visits school
- Writes preliminary report
- Proposes decision
- Makes recommendations for enhancement
- Analyses refutations, when applicable

#### 3. Accreditation

## Agency Board:

- Appoints and trains external evaluation panels
- Sets guidelines and deadlines
- Analyses whole process
- Makes final decision

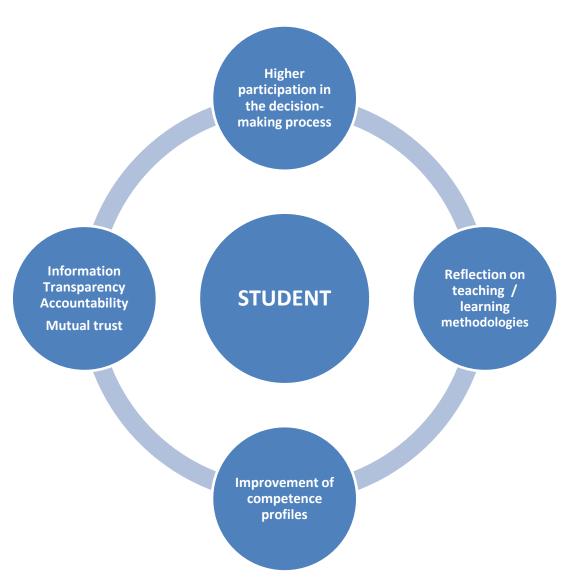
#### IV. Lessons learned at IPP

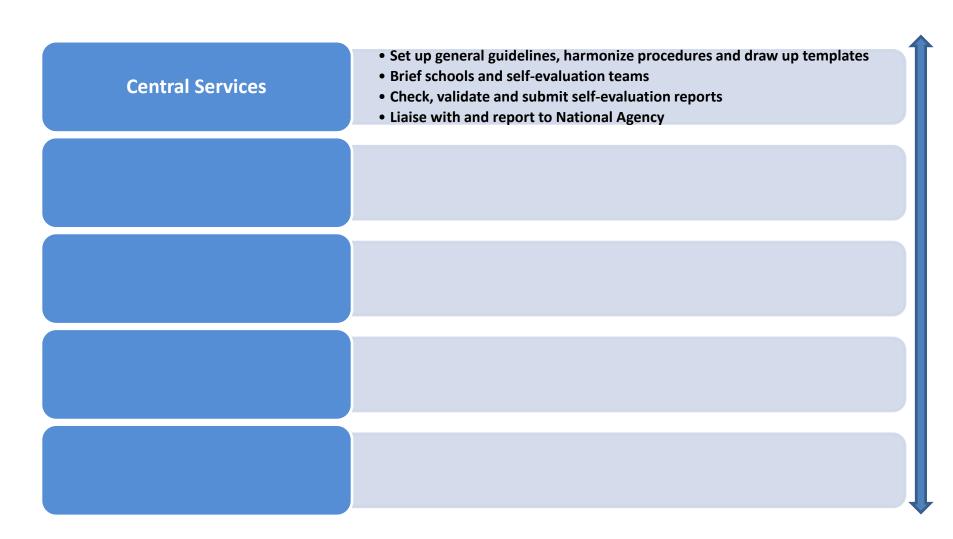
Lesson 1: Students are key

Lesson 2: Internal clarification of responsibilities and division of labour

Lesson 3: The overall washback effect of the evaluation and accreditation process

**Lesson 1: Students are key** 





| Central Services        | <ul> <li>Set up general guidelines, harmonize procedures and draw up templates</li> <li>Brief schools and self-evaluation teams</li> <li>Check, validate and submit self-evaluation reports</li> <li>Liaise with and report to National Agency</li> </ul> |
|-------------------------|---|
| School governing bodies | <ul> <li>Set up guidelines at school level</li> <li>Appoint self-evaluation committees</li> <li>Approve self-evaluation reports</li> <li>Appoint representatives to meet external evaluation panels</li> </ul>  |
|                         |   |
|                         |   |
|                         |   |

| Central Services        | <ul> <li>Set up general guidelines, harmonize procedures and draw up templates</li> <li>Brief schools and self-evaluation teams</li> <li>Check, validate and submit self-evaluation reports</li> <li>Liaise with and report to National Agency</li> </ul> |
|-------------------------|---|
| School governing bodies | <ul> <li>Set up guidelines at school level</li> <li>Appoint self-evaluation committees</li> <li>Approve self-evaluation reports</li> <li>Appoint representatives to meet external evaluation panels</li> </ul>  |
| School QA structures    | <ul> <li>Coordinate self-evaluation committees</li> <li>Liaise with school governing bodies and IPP</li> <li>Provide common school data</li> <li>Help with logistics</li> </ul>   |
|                         |   |
|                         |   |

| Central Services           | <ul> <li>Set up general guidelines, harmonize procedures and draw up templates</li> <li>Brief schools and self-evaluation teams</li> <li>Check, validate and submit self-evaluation reports</li> <li>Liaise with and report to National Agency</li> </ul> |
|----------------------------|---|
| School governing bodies    | <ul> <li>Set up guidelines at school level</li> <li>Appoint self-evaluation committees</li> <li>Approve self-evaluation reports</li> <li>Appoint representatives to meet external evaluation panels</li> </ul>  |
| School QA structures       | <ul> <li>Coordinate self-evaluation committees</li> <li>Liaise with school governing bodies and IPP</li> <li>Provide common school data</li> <li>Help with logistics</li> </ul>   |
| Self-evaluation committees | <ul> <li>Gather information</li> <li>Consult stakeholders</li> <li>Conduct SWOT analysis</li> <li>Write up self-evaluation report</li> </ul>  |

## Lesson 2:

### Internal clarification of responsibilities and division of labour

| Cantral | Services |
|---------|----------|
|         |          |

- Set up general guidelines, harmonize procedures and draw up templates
- Brief schools and self-evaluation teams
- Check, validate and submit self-evaluation reports
- Liaise with and report to National Agency

#### **School governing bodies**

- Set up guidelines at school level
- Appoint self-evaluation committees
- Approve self-evaluation reports
- Appoint representatives to meet external evaluation panels

#### **School QA structures**

- Coordinate self-evaluation committees
- Liaise with school governing bodies and IPP
- Provide common school data
- Help with logistics

#### **Self-evaluation committees**

- Gather information
- Consult stakeholders
- Conduct SWOT analysis
- Write up self-evaluation report

#### **Support systems**

- Provide data
- Help dissiminate self-evaluation reports
- Help with logistics

#### Lesson 3:

## The overall washback effect of the evaluation process

The evaluation and accreditation process of study programmes is helping us reinforce / clarify / fine-tune...

#### Lesson 3:

## The overall washback effect of the evaluation process

The evaluation and accreditation process of study programmes is helping us reinforce / clarify / fine-tune...

...the mission, the strategic planning and management, the internal organisation, the decision-making process, routines, procedures, tools, practices, which in turn result in...

Lesson 3:

The overall washback effect of the evaluation and accreditation process

The evaluation and accreditation process of study programmes is helping us reinforce / clarify / fine-tune...

...the mission, the strategic planning and management, the internal organisation, the decision-making process, routines, procedures, tools, practices, which in turn result in...

...the continuous enhancement of our educational offer and ultimately the quality of life of all stakeholders and the sustainable, intelligent and inclusive development of the community.

### Last words and a little warning



... or the absolute necessity of not mixing up the evaluation process, which is a <u>represention</u>,

... with what is being assessed (<u>real</u> people, <u>real</u> study programmes, <u>real</u> schools).

# Thank you.

Mónica Vieira

monica@sc.ipp.pt

Cristina Pinto da Silva

csilva@sc.ipp.pt